ACAR Worked Examples

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Both cases are fictional Northbank Retail scenarios. All names, records, dates, quantities and results below are invented to demonstrate the forms. Mode is Simulation / tabletop throughout. D and C labels describe the evidence represented within the scenario; these examples are not field evidence or a new test of the method.

The field identifiers match the Working Templates and the guide. The Example Register contains the same events, actions, holds and transition history. An organisation should replace these facts with its own evidence and authority records.

The Example Register uses 9 November 2026 as its review date. The routine event's 3 October closure remains in the historical record. Its later review was due on 2 November and has not been evidenced. The missed review is recorded in linked review NBR-SIM-002-R1 with a named owner, explicit interim status and a reopen-decision-pending hold. No later review or reopen decision is represented as completed.

Example 1 Customer-service assistant and proposed refund authority

The proposed workflow combines a provider update with payment execution, a second market and reduced human review. It requires R3. The eventual authorisation permits only a narrower one-market workflow with human confirmation of every refund.

A1 Scope and record control

Standing record for the selected operating scope.

Field	Record
Scope	Customer-service drafting and confirmed refund workflow; Northbank Retail Ltd (fictional). Map AM-NBR-CS v3.
Record control	Elena Ward, governance lead; reviewed 1 October 2026; next review 7 December 2026 or mandate change; governed repository NBR/AI/CS; G-NBR-01.
Signal channels	Provider release feed to Sam Patel, platform owner, and Elena Ward; substitute Grace Liu. Internal configuration notices routed to the same record. Self-hosting channels not applicable to this hosted scope.

A2 People and functions

Name the people or forums and reference their mandates.

Field	Record
Owners	Process/event/triage: Elena Ward. System: Sam Patel. Consequence: Mara Reed, Customer Operations Director. Implementation owners named in C6. Closure: Grace Liu, control review manager. Register/learning: Elena Ward.
Specialist contacts	Legal: Leon Hart; privacy: Ada Chen; technical: Sam Patel with independent test review by Grace Liu; operations/human factors: Mara Reed and Inez Webb. Qualifications and interests in C4.
Escalation	COO-led product-risk forum (chair Ruth Ellis) is routing/escalation authority under CH-PRF-03. Board-appointed COO substitute, Daniel Ross, acts within the same mandate. Quorum COO plus operations and technology; conflicted implementer cannot verify own action. Deadlock escalates to CEO.

A3 Decision authority

Repeat this block for distinct decision scopes.

Field	Record
Decision scope	Continue/condition, restrict/pause/suspend, transition/exit and reopen for this workflow, one market, approved budget envelope. Emergency containment may reduce permissions immediately; it cannot widen use.
Formal and actual authority	Formal and actual authority: COO-led product-risk forum. Board charter CH-PRF-03 of 15 September 2026 v3 authorises the scope; budget delegation BD-04 and administrator commitment OPS-17 permit enforcement. All are fictional D source records.
Delegates and substitutes	Forum may delegate routine documentation confirmation within recorded boundaries; none is invoked for NBR-SIM-001. COO substitute Daniel Ross may convene the forum. Board confirms successor authority; no self-confirmation.
Authority gaps	No authority vacancy identified. Ruth Ellis can require the platform owner to stop rollout immediately and commit funds within BD-04. Costs above the envelope need CFO approval before implementation.

A4 Timing and readiness

Rules rather than role names.

Field	Record
Response times	Protective interim action: ratify within one working day. Emergency: ratify within four hours; if unavailable, keep affected new workflow Paused and escalate to COO substitute. Reopen signal submitted the same working day. These are fictional local rules, not universal ACAR limits.
Cumulation	Elena Ward reviews the register monthly, next 7 December 2026, and when a repeated complaint or linked permission/model change may alter the baseline. Review window is since the previous review; related effects trigger bundling without a fixed count.
Readiness and access	All ten readiness items confirmed 1 October in READY-CS-01: owner, scoped map, separated authority, routing forum, specialist access, versioned repository, evidence references/access, G schedule, emergency/substitute rules, and cumulation cadence.

B1 Event identity

Core record for every event.

Field	Record
Event	NBR-SIM-001; provider model update and proposed refund execution; opened 1 October 2026; v6; method 24 September 2026; Simulation / tabletop; Organisation-run fictional illustration.
Scope and ownership	Northbank Retail Ltd; customer-service assistant CS-01; event Elena Ward; system Sam Patel; consequence Mara Reed; AM-NBR-CS v3.
System identifiers	Inventory CS-01; provider fictional ServiceModel A v4 to A v4.1; production Market A, proposed Market B; configuration CFG-CS-12 to proposal CFG-CS-13; release REL-CS-41; payment connector PAY-02.
Links and boundary	No parent or linked event at intake. Market B remains outside the final authorisation; a future proposal opens a new event. H-CS-01 internal boundary evidence only for the provider.

B2 Signal and intake

Record what became visible before judging significance.

Field	Record
Signal	Provider notice PN-41 received 1 October 09:00; product request CR-41 received 09:30 proposing refunds, connector access, a second market and sampled review. Intake distinction: Signal, with a verified proposed change.

Field	Record
Evidence	EV01/EV02: Fact; D Direct and contemporaneous within the fictional scenario. Notices verify the announcement/proposal, not provider implementation, production deployment or technical suitability.
Intake disposition	New coupled event. Existing assistant remains under BL-CS-12; new workflow is not live. No cancellation or duplicate.

B3 Baseline

An observed historic practice is not evidence of approval.

Field	Record
Status	approved.
Approved state	BL-CS-12: drafts replies only; Market A; approved customer-service records; no payment execution; every outward reply and refund approved by a human; model A v4; configuration CFG-CS-12. Review on material change.
Approval evidence	EV03 approved baseline of 15 September; CH-PRF-03 and BD-04. D Direct and contemporaneous source records. No evidence of prior approval for automatic refunds or Market B; this proposal requires a renewed decision before rollout.

B4 Delta and timing

Separate a verified proposal from implemented change.

Field	Record
Change	Verified proposed delta: model A v4.1; payment connector; automatic refunds up to a proposed EUR 100; Market B; sampled human review. Plan verified 2 October by Elena Ward; planned production start 12 October.
Support	EV01/EV02 and interface design IF-PAY-02 verify proposal contents as D records. They do not prove implementation. C6 later records restricted configuration and deployment.
Earlier period	No unassessed operation identified: access logs and release controls show proposal not deployed before the decision; C18 not applicable.

B5 Classification

Screen the taxonomy without forcing a single label.

Field	Record
Classes	C1, C4, C5, C6, C7, C8. Primary C6 because proposed financial execution changes the earlier decision basis most directly.

Field	Record
Descriptors	simulated; prospective; partly reversible; partly observable; cross-functional; coupled; routine; uncertain at intake. External dependency recorded separately in H.

B6 Materiality review

Screen M1-M12 and repeat for each touched lens.

Field	Record
Lens and comparison	M1: new model. M2: drafting to execution. M3: financial action. M4: market/language expansion. M5: payment/customer data access. M6: connector and transaction power. M7: sampled review. M8: provider/connector dependencies. M9: reversal. M10: logs and review visibility. M11: consumer/payment/privacy questions. M12: coupled changes. Baseline EV03; proposed delta EV01/02; qualities D for the fictional source records.
Judgement	Earlier approval does not cover the proposed expansion. Obtain scoped technical, legal, privacy and human-capacity inputs before rollout. Refund reversal, logging and language coverage initially unresolved. Mara Reed objects to sampled review until capacity and intervention are demonstrated; dissent retained in DEC-09.
Other lenses	All twelve lenses touched. R3 required; no lens is omitted by averaging or scoring.

B7 Triggers boundary and route

Trigger first, exemption second, route third.

Field	Record
Triggers	Triggers 1, 2, 3, 4, 5, 6, 8, 10, 11 and 13. Proposed transaction power and reduced oversight engage non-delegable exclusions. No emergency or authority-change trigger (14/15).
Boundary invocation	Exemption invoked: no. No boundary may authorise the proposed new transaction power or material oversight weakening.
Route	R3 Formal reauthorisation proposed Elena Ward 2 October; confirmed COO-led forum 2 October, ROUTE-02. Normal R3 under §6.4.4. No method deviation. C12 T001/T002.

B8 Legal triage

Core screening with conditional specialist input.

Field	Record
Triage	Legal input required; screened Elena Ward 2 October. New payment execution, consumer decisions, additional market and data processing raise scoped legal questions. Sources CR-41 and BL-CS-12.
Legal input	received, 8 October; originally pending 2 October. Leon Hart, qualified legal counsel for consumer/payment and data-contract matters, report LEG-08. Due 8 October. Original escalation reason retained.
Use and change	LEG-08 conditions the fictional one-market workflow on mandatory human confirmation, defined refund eligibility and a route to challenge/correction. Market B remains unassessed. This example states a scoped fictional input, not advice on any real jurisdiction. Re-screen for changed market, data, delegation or product terms.

B9 Parallel responses

These processes must not wait for ACAR.

Field	Record
Response check	Preventive change review; no incident, complaint or suspected unlawful processing identified at intake. Security/privacy specialists review the proposed access. No reporting clock identified by the scoped legal input.
Active processes	Change/release REL-CS-41: Sam Patel, prelaunch 12 October. Privacy DP-08: Ada Chen, 8 October. Customer remediation process remains available if an affected transaction is found; escalation contact Mara Reed.

B10 Evidence record

Repeat for each substantive proposition or reference.

Field	Record
Identity and access	All evidence is fictional. Repository NBR/AI/CS with access limited to the forum and assigned specialists. EV01 PN-41 provider notice 1 October; EV02 CR-41 plus IF-PAY-02 1 October; EV03 BL-CS-12 plus mandates 15 September; EV04 ROUTE-02 2 October; EV05 TECH-08/DP-08/LEG-08/HF-08 8 October; EV06 DEC-09 9 October; EV07 REL-CS-41 and tests 12 October; EV08 monitoring MON-4W and CUST-4W 12 October-9 November; EV09 CLOSE-09 9 November; EV10 REVIEW-PLAN-09 9 November. Source owners: provider relationship owner, product, forum secretary, named specialist, implementation owner, operations and verifier respectively. Inspected at the recorded decision/verification dates; source versions retained.

Field	Record
Proposition	EV01/02/03/07/08: Fact for announced/proposed/approved/implemented/observed states respectively. EV05: Specialist conclusion. EV04/06/09: Decision. EV10: Decision for the scheduled review. Each supports only its stated proposition and date.
Provenance	D Direct and contemporaneous within the fictional scenario. The constructed case is not real D field evidence. No C claim is made from dependent summaries. Provider notice is not evidence of customer-side performance; that comes from scoped tests and monitoring.

C1 Part 2 record control

Part 2 always carries its own Event ID.

Field	Record
Identity	NBR-SIM-001; provider update and proposed refund execution; Part 1 B1-B10; version 6; method 24 September 2026.
History	v6 supersedes v5; opened Elena Ward 1 October 09:00; v6 recorded Grace Liu 9 November 16:00 for verified closure. Earlier versions v1 intake, v2 route, v3 inputs, v4 decision, v5 launch retained in NBR/AI/CS/NBR-SIM-001.

C2 Authority for this event

Evidence authority for the actual scope.

Field	Record
Formal authority	COO-led product-risk forum; CH-PRF-03 v3, 15 September; one-market customer workflow and budget limits stated in A3. D within simulation.
Actual authority	Same forum, chaired Ruth Ellis; BD-04 and OPS-17 establish budget/implementation levers. Sam Patel implements; Mara Reed supplies staff; finance confirms the cap; the forum can stop use.
Delegate or succession	No decision delegation or succession for this event. Daniel Ross is the board-authorised COO substitute. Sam Patel supplies technical input and implements configuration; Grace Liu independently verifies actions. No other conflict identified in fictional record.

C3 Interim operating status

Separate from the review route and final outcome.

Field	Record
Permission and scope	Pause for proposed expanded workflow. Existing drafting-only assistant continues separately under BL-CS-12 and universal human approval.
Authority and timing	COO-led forum, ROUTE-02 on 2 October. New transaction power and review design require reassessment. Review by 8 October; no pre-authority protective action, so ratification not applicable.
Open work	Elena Ward owns missing specialist inputs. If 8 October passes without adequate inputs, expansion remains Paused; escalate the same day. Reopen/escalate for unlogged actions, failed reversals or insufficient reviewer capacity. Holds H001 and H002 coexist until separately resolved.

C4 Specialist input

Repeat only for identified specialist questions.

Field	Record
Question and owner	Technical: Sam Patel with relevant model/connector and rollback expertise, interest as implementer disclosed; review Grace Liu. Privacy: Ada Chen, qualified privacy counsel for this data scope. Legal: Leon Hart, qualified counsel within the market/product scope. Human factors/operations: Inez Webb and Mara Reed, workflow, reviewer competence and capacity expertise; operational workload interest disclosed.
Input and status	TECH-08: tests support only capped, human-confirmed refunds with logging/reversal; DP-08: minimum payment fields and access/retention conditions; LEG-08: scoped Market A requirements, excluding Market B; HF-08: six trained reviewers plus two substitutes, adequate language coverage, universal confirmation within measured volume. Each dated 8 October v1, received; scope and limitations recorded; EV05, D in simulation.
Decision use	Forum adopts these limits in DEC-09. It rejects immediate sampled review and Market B. Tests do not establish unrestricted performance. H001 resolved on receipt of LEG-08; H002 resolved only after logging and reversal evidence TECH-08 is available. B8 remains Legal input required / received.

C5 Final decision

Set closure criteria at decision time.

Field	Record
Options	Options: retain drafting only; proceed with capped and universally confirmed refunds in Market A; delay rollout; restrict or stop the assistant; alternative provider or manual refunds. Provider v4 support ends 30 November (PN-41); current workflow can continue meanwhile. Immediate unlimited automation and Market B are excluded for insufficient evidence.
Outcome and authority	O1 Continue with conditions; COO-led forum DEC-09 on 9 October, effective only once prelaunch conditions are verified on 12 October. No unconfirmed payment execution is authorised.
Rationale and limits	EUR 30 cap per transaction; Market A only; human confirmation of every refund; full action/override logging; daily exception review; trained reviewers and substitutes; customer challenge/correction route. Universal confirmation continues until a separate decision changes it. Mara Reed's objection to sampled review is retained.
Completion plan	Implementation, condition and outcome-confirmation closure. Prelaunch control evidence plus four weeks of observed records required. Actions A001-A004; Grace Liu verifies; formal review 9 November. The 9 November review may close this event but cannot remove its continuing conditions without a new decision.

C6 Actions and dependencies

Repeat one record per action or use workbook Actions.

Field	Record
Action	A001 configure EUR 30 cap, Market A and mandatory confirmation: Sam Patel, due 12 October; depends on LEG/TECH inputs. A002 reviewer training/coverage and daily review: Mara Reed, 12 October; depends on HF-08. A003 complete logs and daily retrieval: Noah Price, data engineering, 12 October; depends on connector identifiers. A004 challenge/correction instructions: Lena Ortiz, customer operations, 12 October; depends on LEG-08. EV07 supports the 12 October implementation verification; the later EV08 monitoring supports C8 closure, not the earlier action-verification date.
Evidence and verification	EV07: configuration export, failed-unconfirmed-transaction test, cap/market tests, training register, rota, log reconciliation and published customer instructions, all 12 October. Grace Liu verifies each against DEC-09 before launch. EV08 covers 12 October-9 November. No self-verification exception.
Status	A001-A004 Verified 12 October; monitoring continues through 9 November. No superseded action and no missing closure prerequisite.

C7 Open issues and holds

Repeat one record per unresolved issue or use workbook Holds.

Field	Record
Issue	H001 / NBR-SIM-001 / specialist input pending: scoped legal input. H002 / same event / evidence pending: refund reversal and complete action logs.
Response	H001 Leon Hart, due 8 October; H002 Sam Patel, due 8 October. Both reference C3 Pause for the expansion; if late, keep Paused and escalate to forum.
Resolution	H001 Resolved 8 October 11:00 by Elena Ward against LEG-08; H002 remains open at that time. H002 Resolved 8 October 16:00 by Grace Liu against TECH-08. Neither was removed by resolution of the other. Both retained in Holds/history; no active holds at closure.

C8 Closure

Apply §10.4 for the confirmed route.

Field	Record
Criteria	Implementation, condition, outcome-confirmation. DEC-09 requires evidence of all four prelaunch controls plus four weeks of action, override, complaint and reversal records. R3 floor applies.
Evidence	EV07 and EV08 complete within scope. Fictional four-week record: 640 refund requests, all human-confirmed; zero unconfirmed execution, zero cap breaches, zero missing action IDs, zero requests without a reviewer; two eligibility questions resolved through the challenge route without erroneous payment. Source records retained.
Verification	Grace Liu, 9 November 16:00, CLOSE-09; authorised under CH-PRF-03, not an action owner. Confirms controls and observed state for 12 October-9 November, not future model performance or legal sufficiency beyond input scope.
Remaining conditions	No active closure hold. EUR 30/Market A/human-confirmation/logging/review/challenge conditions continue with Mara Reed and Sam Patel as owners. C9 completed; C10 next review 7 December. O1 stays in force; the end of four weeks does not authorise sampling.

C9 People and downstream consequences

R0/R1 may use the short screen where no relevant issue is identified.

Field	Record
People screen	Market A customers requesting refunds and the reviewing staff were potentially affected. No new market exposure. Mara Reed, 9 November, reviews the four-week record.
Assessment	Two eligibility questions examined and answered through the existing challenge route; no incorrect payment identified, so no payment correction required. Lena Ortiz owns accessible explanation and challenge channels, checked 12 October and 9 November. No language-coverage gap identified in the permitted market. EV07/EV08 support the bounded conclusion.
Status	complete; CUST-4W. No unassessed operating period; no separate C18 lookback. Any later complaint reopens the affected-period question.

C10 Review recurrence and learning

R0 may reference standing cumulation review.

Field	Record
Review	Event-specific review by Mara Reed on 7 December; monitor daily exceptions and review the prior four-week window; Elena Ward includes the case in monthly cumulation.
Reopen	Submit same working day if one unconfirmed transaction, a cap breach, missing action logs, reviewer coverage failure or complaint suggesting incorrect payment occurs. Keep affected transaction path Paused while forum decides; substitute Daniel Ross if COO unavailable. Thresholds are fictional local choices.
Learning	AM-NBR-CS v3 records transaction authority and substitutes. Provider notices now route to governance and engineering. New sampled-review or Market B proposal requires a linked event before implementation; owner Elena Ward.

C11 Current state

Six distinct axes; holds are a set.

Field	Record
Workflow and operation	Closed as of 9 November 16:00, CLOSE-09; Operating under conditions or restrictions under DEC-09.
Holds and disposition	No active holds; H001/H002 retained as Resolved. Record disposition active, v6.

Field	Record
Outcome and mode	O1 Continue with conditions; Simulation / tabletop. Next review Mara Reed, 7 December.

C12 Transition history

Append one entry per change or use workbook History.

Field	Record
Transition	T001 open 1 October v1; T002 R3 and Pause confirmed 2 October v2; T003 H001/H002 separately resolved and specialist records added 8 October v3; T004 O1 recorded 9 October v4; T005 action verification/launch 12 October v5; T006 closure review and Closed 9 November v6.
Decision trail	Actors Elena Ward / forum / named specialist and verifier / forum / Grace Liu / Grace Liu, respectively. References EV01-EV09. Each History entry records from/to, time, confirmer and reason; dissent remains in DEC-09. Simultaneous field changes use separate entries with the same decision reference.

C16 Ten trace statuses

Complete for every route; use references instead of duplicate narratives.

Field	Record
Trace status record	Elements 1-9 completed as of 9 November within the fictional event. References: 1 signal B2; 2 intake B1/B5; 3 ownership A/B1; 4 inputs C4; 5 authority C2; 6 decision C5; 7 changed state C6; 8 action ownership C6; 9 completion C8. Element 10 learning and recurrence: pending for the future review, Mara Reed, 7 December, C10/EV10. Event learning has been captured in C10; the owned future review does not prevent closure under §10.4 and is not represented as completed.

G Record Ownership and Data Schedule

Complete before facilitated information sharing.

Field	Record
Parties and records	Northbank Retail Ltd; internal customer-service workflow. Organisation owns source evidence and completed records. This example is organisation-run; there is no external facilitator or copied real customer data.
Access and location	NBR/AI/CS restricted repository; forum, named specialists and verifier have role-based access. Evidence references point to source systems. External sharing of real case records not authorised by this schedule.

Field	Record
Retention and return	Fictional local schedule: governance decisions retained three years after supersession; operational refund evidence one year subject to applicable holds; named record owner Elena Ward. No material retained by an external facilitator. These periods illustrate a decision and are not a retention recommendation.
Incidents	Use the fictional internal security/incident channel and privacy counsel per IR-01. Legal owner determines any applicable external deadline. office@rulebridge.eu receives no case data.

H External Handoff Record

Conditional on a cross-entity event; distinct from F.

Field	Record
Scope and tier	NBR-SIM-001; fictional provider ServiceModel Co; internal boundary evidence only; no accessible provider governance trace.
Authority and notice	Provider decision authority unknown. PN-41 gives release and 30 November support-end notice; relationship owner Sam Patel. Does not prove provider internal authorisation.
Leverage and handoff	Contract allows advance notice and version pinning until support end; internal leverage: restrict connector/rollout, remain on v4 temporarily, change provider or use manual workflow. Sam Patel records handoff ACK-41; deeper subprocessor decision records not inspected.
Visibility	Provider internal tests/decision/authority are outside available records. Request for scoped release information recorded 2 October; customers cannot negotiate each multi-tenant update. Internal forum retains the scoped interim decision; no conclusion about provider closure.

Conditional modules not used in Example 1

Field	Record
C13 Reopen	No post-closure trigger is simulated; criteria and route are set in C10.
C14 Emergency	Planned change; no immediate harm or loss of control requiring emergency action.
C15 Succession	Authority unchanged and available; substitute recorded in A3.
C17 Deviation	No departure from method rules.
C18 Unassessed period	Expanded workflow not deployed before decision; C9 covers the authorised observation period.
C19 Experiment	The authorised limited rollout is continuing use subject to conditions, not an allocation-based experiment.
D Supplement	Core records have enough space; no separate supplement needed.
F Boundary	No exemption available for the proposed non-delegable changes.

Example 2 Routine model patch within an approved boundary

The trigger remains recorded. A current boundary permits R0 after the proposed patch is checked; production implementation and closure follow on the next day.

R0.1 Event and scope

NBR-SIM-002; routine knowledge-assistant patch; Northbank Retail Ltd, system KA-01, Market A staff only. Opened 2 October 2026 09:00; method 24 September 2026; Simulation / tabletop; v3 at closure, v4 for the later review link. Event owner Oliver Stone; system Priya Shah; consequence Head of Internal Operations Erin Blake. AM-KA-02, BL-KA-07; provider PatchModel Co, family K v2.0 to v2.0.1; configuration KCFG-07; release REL-KA-201. No parent; provider notice is the external input, H-KA-02 records limited boundary visibility.

R0.2 Baseline and verified delta

Baseline conditionally approved by Erin Blake on 1 September, BL-KA-07: internal non-binding answers from approved policies, no external action, unchanged access and human responsibility for use. Mandate AUTH-IO-02; D source records within simulation. On 2 October the notice PN-K-201 and internal staged comparison STAGE-201 verify the proposed patch and permitted scope. PN-K-201 supports what the provider announces; STAGE-201 supports observed staged configuration and tests. Each is D for its own proposition. Neither is evidence that production changed on 2 October. Production implementation is evidenced separately on 3 October.

R0.3 Screen route and boundary

C1 Model, version or capability change;
simulated/prospective/reversible/observable/contained/single/routine/known after checks. M1, M9, M10 touched: patched model, tested rollback and observable release. Remaining lenses show no change to purpose, people, data, permissions, review, authority or obligations. Trigger 6 is recorded because a model update has behaviour/control implications. Exemption invoked yes: BND-KA-01 v2, valid until 31 December, expressly covers this patch class. Oliver proposes R0 and Erin Blake confirms applicability and R0 on 2 October 15:00 after STAGE-201; no excluded trigger. Legal triage No legal signal identified, Oliver 2 October: contract, processing scope, purpose, people and permissions unchanged against approved checklist LEG-SCREEN-02. Legal input Not required. Existing release process REL-KA-201 active, Priya due 3 October; no incident or separate reporting trigger identified.

R0.4 Permission and decision

Actual and formal authority Erin Blake, AUTH-IO-02. Erin directly confirms O0 Continue, 2 October 15:00, DEC-KA-02, within BL-KA-07/BND-KA-01; no new use or permission authorised. Interim No interim change required; approved baseline remains in operation until the maintenance change; documentation/verification checkpoint 3 October 17:00. If the patch check fails, do not deploy or roll back and raise the route. No temporary continuation beyond scope is created.

R0.5 Action and closure

A201 deploy tested patch in the 3 October maintenance window; owner Priya Shah. Production record DEP-201 at 10:00 identifies exact release; smoke-test SMOKE-201 at 10:30 confirms source/access settings and baseline behaviour. These are D records in simulation. Erin Blake, who did not deploy, verifies at 11:00 against DEC-KA-02. Closure type implementation; evidence complete; O0 remains. People screen by Oliver: same internal staff and non-binding use; no complaint, incident, new group or adverse signal identified; no full remediation module required. Closed 3 October 11:00, CLOSE-KA-03. No active holds.

R0.6 Standing review and history

Six axes: Closed; Operating under approved baseline (including its existing conditions); no active holds; active; O0 Continue; Simulation / tabletop. Standing review: Oliver Stone, monthly, next 2 November, AM-KA-02. Reopen for complaint/quality pattern, unapproved source/tool/permission, failed rollback or any boundary exclusion. The later missed review is tracked separately in NBR-SIM-002-R1; the 3 October closure remains preserved. v3 supersedes v2; History T201 intake 2 October 09:00 v1, T202 R0/O0 confirmation 2 October 15:00 v2, T203 implementation verification and closure 3 October 11:00 v3. Each transition references the dated decision/source and retains earlier records.

R0.7 Ten trace statuses

1 signal completed PN-K-201; 2 intake completed R0.1/R0.3; 3 ownership completed AM-KA-02; 4 specialist inputs not required with rationale: no new question after current screening and boundary checks; 5 authority completed AUTH-IO-02; 6 decision completed DEC-KA-02; 7 changed state completed DEP-201/SMOKE-201; 8 action ownership completed A201; 9 completion completed CLOSE-KA-03; 10 learning and recurrence pending for the standing review owned by Oliver Stone, 2 November, AM-KA-02. Event learning: no exception found; retain the patch checks and boundary exclusions. Future review findings are not asserted.

F1 Pre-authorised Change Boundary

Standing authorisation with an expiry or review date.

Field	Record
Identity and scope	BND-KA-01 v2; owner Oliver Stone; Northbank Retail Ltd KA-01; BL-KA-07; authorised 1 September 2026, reviewed 1 October; expiry/review 31 December or earlier trigger.
Permission	C1 patch-level update in family K, including trigger 6 only within these limits: no new tool, modality, routing, source, data location, permission, external action or human-review change. Staged test and rollback check required before application; production configuration and smoke-test after. R0 permitted only while earlier decision basis remains applicable.
Exclusions	Exclude intended/material actual-use change, consequential transaction authority, material oversight weakening, authority change and any unlisted trigger. Credible legal question, material specialist disagreement or emergency requires its normal review. A boundary cannot replace those inputs.
Authority	Erin Blake, actual authority under AUTH-IO-02; BND-DEC-01, D fictional record. Erin confirms applicability and O0 in this case. No blanket delegation to the installer; no authority to expand use.

F2 Boundary invocation

Repeat for every event relying on the boundary.

Field	Record
Event and check	NBR-SIM-002; check 2 October; BND-KA-01 v2; trigger 6 preserved; STAGE-201 confirms permitted patch and no exclusion; expiry 31 December, valid on check and deployment dates.
Confirmation	Erin Blake, 2 October 15:00, DEC-KA-02; R0 because scope and basis remain unchanged. Production evidence DEP-201/SMOKE-201 verified separately 3 October. No overrun in the main case.

Applicable standing and external records

Field	Record
Authority map AM-KA-02	Erin Blake formal/actual authority for KA-01; substitute Dana Morris under AUTH-IO-02; triage/event/register owner Oliver; technical implementation Priya; verifier Erin. Scope and notice channel reviewed 1 October. Specialist contacts available on trigger. Emergency restriction ratified within four hours; missed ratification keeps affected use Paused. Reopen submitted same working day. Monthly cumulation next 2 November. All ten readiness checks recorded READY-KA-02; no external facilitator.
Data schedule G-KA-02	Internal controlled repository KA/governance; Oliver owns records; sources remain in release/configuration systems. Role-based access. Retention per fictional schedule RET-KA-02; no external copy or personal-data transfer authorised. Incident channel IR-01. No use of method-feedback mailbox for case evidence.
H-KA-02	Internal boundary evidence only for PatchModel Co. External decision authority/trace unavailable; PN-K-201 notice and contract permit scheduled patch acceptance. Priya owns provider handoff and 3 October release window. No visibility into deeper provider chain; no external approval or performance inference. Internal staged and production evidence support only Northbank's own checks.
Unused conditional modules	No emergency, authority change, method deviation, experiment, earlier unassessed period or post-closure reopen. No full affected-person module after the scoped no-issue screen. No extra specialist reassessment. Reasons remain in this record rather than blank forms.

Boundary exceeded variant

This variant starts a new linked record NBR-SIM-002B and does not alter the closed main example. On 4 October a proposed patch also enables an external messaging tool. The magnitude and permission exclusions of BND-KA-01 are breached. Record C6/C8 and triggers 2/3/6 as applicable; exemption invoked no. Do not erase the earlier valid invocation. The routing authority confirms R3 for the new consequential external action; keep the new capability Paused while the approved knowledge assistant continues within scope. The

proposal cannot receive an R0 O0 confirmation merely because the release is called a patch. A new decision and later implementation evidence would be needed to close the linked event.

Later review of Example 2

This continuation demonstrates §10.5. It is part of the same fictional example, not a third field case.

Field	Record
B1 and C1 identity	NBR-SIM-002-R1; missed standing review for KA-01; opened 3 November 2026 at 09:00; v1; method 24 September 2026; Simulation / tabletop. Parent and linked event NBR-SIM-002. Event and review owner Oliver Stone; system Priya Shah; consequence Erin Blake. Record NBR/AI/KA/NBR-SIM-002-R1.
B2 and B3 signal and baseline	Review due 2 November has no completion evidence in the designated system. MISSED-REV-03 records the missing review at discovery; it does not prove that no informal review occurred. The 3 October closure and conditionally approved BL-KA-07 remain in the history. No new model or permission change has been identified.
B7 routing and B8 screen	R1 Enhanced monitoring confirmed by Erin Blake on 3 November, ROUTE-REV-03: obtain the missing review and decide whether the prior closure needs reopening. This is not a boundary exemption or an automatic new authorisation. Oliver's legal screen records No legal signal identified / Not required within the unchanged scope; re-screen if review identifies a new question.
C2 and C3 authority and interim status	Erin Blake under AUTH-IO-02; decision on the reopen trigger due 10 November. No interim change required, confirmed 3 November, because the evidenced baseline and boundary remain applicable and no adverse signal has been identified. Oliver owns the missing review. If the 10 November checkpoint is missed, escalate to Erin's named substitute; no silent extension or new permission. Protective action follows any new adverse finding.
C7 hold	H201 / NBR-SIM-002-R1 / reopen decision pending / Open. Oliver Stone owns submission of the missing-review facts; Erin Blake owns the decision. Due 10 November; C3-REV-03 records interim permission and escalation. No resolution or transferred-condition claim.
C11 and C13 current state	Decision pending; Operating under approved baseline; one active reopen decision pending hold; active; outcome not yet decided; Simulation / tabletop. Trigger submitted 3 November; the original event has not been automatically reopened or overwritten.
C16 trace status	1 signal, 2 intake, 3 ownership and 5 authority completed, MISSED-REV-03/ROUTE-REV-03/AUTH-IO-02. 4 specialist inputs not required with rationale after current screening. 6 decision, 9 completion and 10 recurrence/learning pending, Oliver/Erin, 10 November. 7 changed practice and 8 corrective-action ownership not required with rationale at this stage because no corrective change has yet been authorised; reassess after the decision.

Field	Record
History and evidence	T301a-T301f record opening, R1, interim permission, operating state, the hold and submission to decision. D within the fictional scenario applies to MISSED-REV-03 and ROUTE-REV-03 as contemporary records of the reported gap and routing/interim decisions; no D claim is made for the unperformed review. G and AM-KA-02 supply record control, retention, access and substitutes.

The original event may continue to display its overdue review date as historical context. The linked current review owns the outstanding work. On 9 November the 10 November decision deadline has not expired; neither a completed review nor a new outcome is invented.

The original event's version 3 closure remains unchanged. Version 4, recorded by Oliver Stone on 3 November 2026 and confirmed by Erin Blake, adds the link to NBR-SIM-002-R1 (History T204) and supersedes version 3 for current cross-references only. It does not replace the closure decision or its evidence.

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